

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Kevin Jeffrey Barnham, et al.

Serial No.: 10/530,137

Filed: October 3, 2005

For: NEUROLOGICALLY-ACTIVE
COMPOUNDS



Examiner: Unassigned

Art Unit: 1624

Docket: 18803

Dated: January 24, 2007

Mailstop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

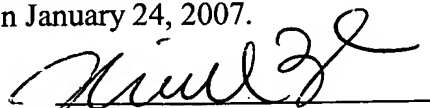
In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. U.S. Patent No. 6,369,058 B1, April 9, 2002 to Hussain, et al.;
2. U.S. Patent No. 5,902,810 A, May 11, 1999 to Pfleiderer, et al.;
3. U.S. 2002/0025944 A1, February 28, 2002 to Bush, et al.;
4. Chemical Abstract 45:48030 (& Yakugaku Zasshi (1945), 65, 69)
See Chem Abs RN410543-30-5;

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mailstop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 24, 2007.

Dated: January 24, 2007


Xiaochun Zhu

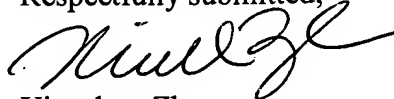
5. Chemical Abstract 111:194704 (& Tetrahedron Letters (1989), 30 (12), 1529-39);
6. Chemical Abstract 24:53157 (& Journal of the American Chemical Society, 1930, 52, 3974.7);
7. Chemical Abstract 48:46261 (& Journal of the Chemical Society, Abstracts, 1952, 5985-5993);
8. EP 0 290 819 B1, November 17, 1988 to Bioresearch S.p.A.;
9. WO 02/085908 A1, February 29, 2002 to Bush, et al.;
10. WO 03/010146 A1, February 6, 2003 to Neuro3D;
11. WO 03/016309 A1, February 27, 2003 to Merck & Co., Inc.;
12. WO 95/12417 A1, May 11, 1995 to ACEA Pharmaceuticals Inc., et al.;
13. WO 94/24379 A1, September 14, 1995 to Cancer Research Campaign Technology Limited;
14. WO 98/06403, February 19, 1998 to Gerolymatos, S.A.;
15. WO 02/50065 A2, June 27, 2002 to Vertex Pharmaceuticals Inc.;
16. WO 98/47969A1, October 29, 1998 to University of Pittsburgh;
17. WO 96/22990 A1, August 1, 1996, The State of Oregon;
18. Koshimuro K. et al. "The Role of 6R-tetrahydrobiopterin in the nervous system", Progress in Neurobiology 61 (2000), 415-438.

The references were cited in an International Search Report dated January 6, 2004.

Applicants are submitting copies of Reference Nos. 4-18, as required by 37 C.F.R. 1.98 (a)(2)(i) and (ii), together with a copy of the Search Report. The relevance of the above-identified references has been described in the Search Report.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

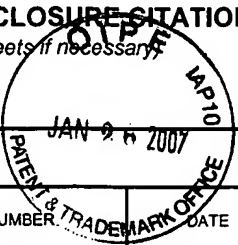
A handwritten signature in black ink, appearing to read 'Xiaochun Zhu', written in a cursive style.

Xiaochun Zhu

Registration No. 56,311

Scully, Scott, Murphy & Presser, P.C.
400 Garden City Plaza - Ste. 300
Garden City, New York 11530
(516) 742-4343
XZ:bk

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO.		APPLICATION NO.	
				18803		10/530,137	
				APPLICANT(S)			
				Kevin Jeffrey Barnham, et al.			
FILING DATE		GROUP ART UNIT					
10/3/2005		1624					



U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	6,369,058 B1	4/9/2002	Hussein, et al.				
	5,902,810 A	5/11/99	Pfleiderer, et al.				

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	2002/0025944 A1	2/20/02	Bush, et al.				

FOREIGN PATENT DOCUMENTS								
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
						YES	NO	
	EP 0 290 819 B1	11/17/88	EPO			✓		
	WO 02/085908 A1	2/20/02	PCT			✓		
	WO 03/010146 A1	2/2/03	PCT			✓		
	WO 03/016309 A1	2/27/03	PCT			✓		
	WO 95/12417 A1	5/11/95	PCT					

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			
			Chemical Abstract 45:48030 (& Yakugaku Zasshi (1945), 65, 69 ; Chem Abs RN410543-30-5
			Chemical Abstract 111:194704 (& Tetrahedron Letters (1989), 30 (12), 1529-39)

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. 18803	APPLICATION NO. 10/530,137
	Kevin Jeffrey Barnham, et al.	
	FILING 10/3/2005	GROUP ART 1624 -

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		WO 94/24379 A1	9/14/95	PCT			✓	
		WO 98/06403	2/19/98	PCT			✓	
		WO 02/50065	6/27/02	PCT			✓	
		WO 98/47969 A1	10/29/88	PCT			✓	
		WO 96/22990 A1	8/1/96	PCT			✓	

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>		
		Chemical Abstract 24:53157 (& Journal of the American Chemical Society, 1930, 52, 3974.7)
		Chemical Abstract 48:46261 (& Journal of the Chemical Society, Abstracts, 1952, 5985-5993).

EXAMINER	DATE CONSIDERED
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	Kevin Jeffrey Barnham, et al.	
	FILING 10/3/2005	GROUP ART 1624

U.S. PATENT DOCUMENTS

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U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

			Koshimuro K. et al. "The Role of 6R-tetrahydrobiopterin in the nervous system", Progress in Neurobiology 61 (2000), 415-438.

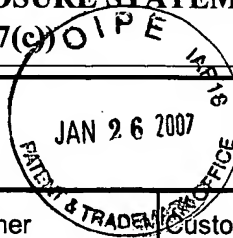
EXAMINER	DATE CONSIDERED
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
18803

In Re Application Of: **Kevin Jeffrey Barnham, et al.**



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/530,137	10/3/2005	Unassigned	23389	1624	4715

Title: **NEUROLOGICALLY ACTIVE COMPOUNDS**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

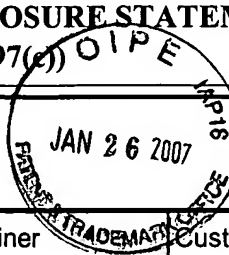
37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(e))

Docket No.
18803

In Re Application of: Kevin Jeffrey Barnham, et al.



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/530,137	10/3/2005	Unassigned	23389	1624	4715

Title: NEUROLOGICALLY ACTIVE COMPOUNDS

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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January 24, 2007

(Date)

Signature of Person Mailing Correspondence

Xiaochun Zhu

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Signature

Dated: January 24, 2007

Xiaochun Zhu
Registration No. 56,311
Scully, Scott, Murphy & Presser, PC
400 Garden City Plaza, Suite 300
Garden City, New York 11530
(516) 742-4343

cc: XZ:bk